

More Rook Polynomials

Daniel H. Luecking

September 13, 2023

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	1	2	3	4	5	6	7
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B		■		■			
C				■	■	■	
D							■

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or

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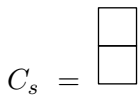
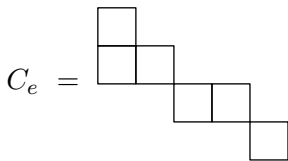
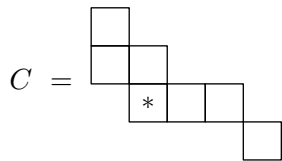
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Here are the relevant chessboards



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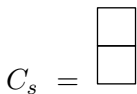
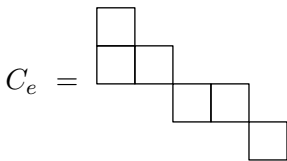
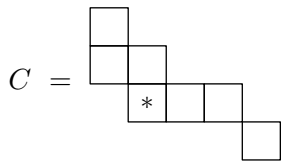
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We can use the product formula on C_e and C_s to get

$$r(C_e, x) = (1 + 3x + x^2)(1 + 3x + 2x^2) = 1 + 6x + 12x^2 + 9x^3 + 2x^4$$

$$r(C_s, x) = (1 + 2x)(1 + x) = 1 + 3x + 2x^2$$

So the formula gives us

$$r(C, x) = r(C_e, x) + x \cdot r(C_s, x) = 1 + 7x + 15x^2 + 11x^3 + 2x^4$$

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The inclusion-exclusion method
requires us to find

$$N = P(7, 4), S_1 = 7P(6, 3),$$
$$S_2 = 15P(5, 2), S_3 = 11P(4, 1) \text{ and}$$
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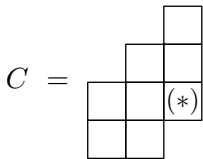
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Then

$$\begin{aligned} N(\bar{c}_1\bar{c}_2\bar{c}_3\bar{c}_4) &= N - S_1 + S_2 - S_3 + S_4 \\ &= P(7, 4) - 7P(6, 3) + 15P(5, 2) - 11P(4, 1) + 2P(3, 0) \\ &= \frac{7!}{3!} - 7 \frac{6!}{3!} + 15 \frac{5!}{3!} - 11 \frac{4!}{3!} + 2 \frac{3!}{3!} = 258 \end{aligned}$$

Examples of finding rook polynomials

This chessboard is from page 405 of the textbook, but I've chosen a different square to mark.



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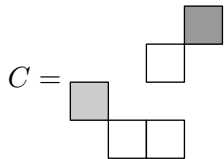
C_e also has a square marked because we'll be using the square-removal formula for C_e as well.

$$\text{Thus, } r(C_e, x) = (1 + 4x + 2x^2)(1 + 2x) + x(1 + 2x)(1 + x) \\ \text{and } r(C_s, x) = 1 + 3x + x^2.$$

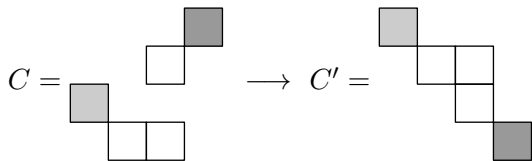
$$\text{Finally, } r(C, x) = r(C_e, x) + x \cdot r(C_s, x) = 1 + 8x + 16x^2 + 7x^3.$$

This next example illustrates how we can exchange rows (or columns). This is never necessary, but it can help visualize the rest of the problem.

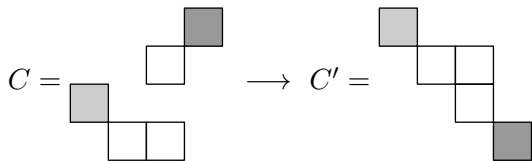
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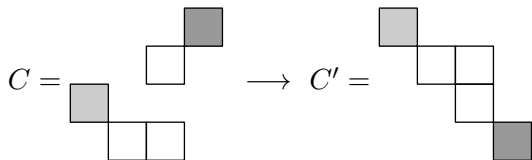
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Then we can apply the product formula twice to get

$$\begin{aligned} r(C', x) &= (1+x)(1+3x+x^2)(1+x) \\ &= 1+5x+8x^2+5x^3+x^4. \end{aligned}$$

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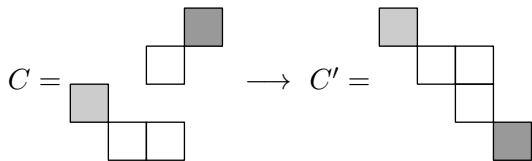


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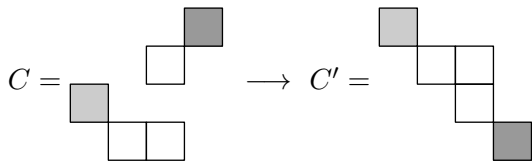


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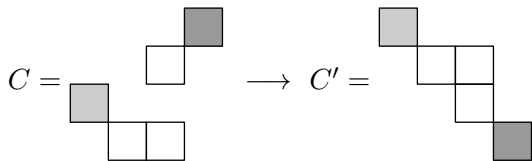


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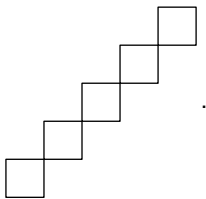
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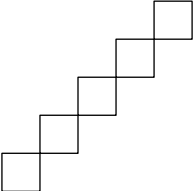
$$r_2 = C(4, 2)P(6, 2) = 180, \quad r_3 = C(4, 3)P(6, 3) = 480 \text{ and}$$

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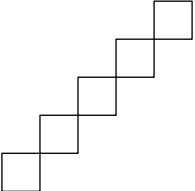
$$\text{and } r(C, x) = 1 + 24x + 180x^2 + 480x^3 + 360x^4.$$

Final example: $C =$



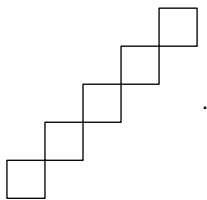
Final example: $C =$  .

We can apply the product rule 4 times to get $r(C, x) = (1 + x)^5$

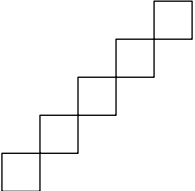
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or we can argue that, since no two squares are in the same row or column,
 r_k is just the number of ways to pick k squares out of 5:

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Either way we get

$$\begin{aligned} r(C, x) &= \binom{5}{0} + \binom{5}{1}x + \binom{5}{2}x^2 + \binom{5}{3}x^3 + \binom{5}{4}x^4 + \binom{5}{5}x^5 \\ &= 1 + 5x + 10x^2 + 10x^3 + 5x^4 + x^5. \end{aligned}$$

Derangements revisited

	1	2	3	4	5
A	■				
B		■			
C			■		
D				■	
E					■

Suppose 5 people initially sat in the seats indicated by the shaded squares, and then they all decided they wanted a different seat.

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The rook polynomial of the shaded chessboard is

$$(1 + x)^5 = 1 + 5x + \binom{5}{2}x^2 + \binom{5}{3}x^3 + \binom{5}{4}x^4 + \binom{5}{5}x^5$$

The formula for the number of ways to seat the people is

$$P(5, 5) - 5P(4, 4) + \binom{5}{2}P(3, 3) - \binom{5}{3}P(2, 2) + \binom{5}{4}P(1, 1) - \binom{5}{5}P(0, 0)$$

Filling in the numbers:

$$5! - 5 \cdot 4! + \frac{5!}{2!} - \frac{5!}{3!} + \frac{5!}{4!} - \frac{5!}{5!}$$